

## Electronic Acknowledgement Receipt

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| <b>Application Number:</b>                  | 10597817   |
| <b>International Application Number:</b>    |  |
| <b>Confirmation Number:</b>                 | 6696   |
| <b>Title of Invention:</b>                  | Pyrroloquinoline and Piperidoquinoline Derivatives, Preparation Thereof, Compositions Containing Them and Uses Thereof |
| <b>First Named Inventor/Applicant Name:</b> | Yun-Jin Hu   |
| <b>Customer Number:</b>                     | 22466  |
| <b>Filer:</b>                               | Jianzhong Shen   |
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### Payment information:

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### File Listing:

| Document Number | Document Description                         | File Name          | File Size(Bytes) | Multi Part /.zip | Pages (if appl.) |
|-----------------|--|--------------------|------------------|------------------|------------------|
| 1               | Information Disclosure Statement (IDS) Filed | 101330US_SB08A.pdf | 5106101          | no               | 4                |

Warnings:

|                                     |                   |                   |         |    |    |
|-------------------------------------|-------------------|-------------------|---------|----|----|
| <b>Information:</b>                 |                   |                   |         |    |    |
| 2                                   | Foreign Reference | EP1221439.pdf     | 717046  | no | 37 |
| <b>Warnings:</b>                    |                   |                   |         |    |    |
| <b>Information:</b>                 |                   |                   |         |    |    |
| 3                                   | NPL Documents     | Batey_etal.pdf    | 214898  | no | 2  |
| <b>Warnings:</b>                    |                   |                   |         |    |    |
| <b>Information:</b>                 |                   |                   |         |    |    |
| 4                                   | NPL Documents     | Hadden_etal.pdf   | 788156  | no | 10 |
| <b>Warnings:</b>                    |                   |                   |         |    |    |
| <b>Information:</b>                 |                   |                   |         |    |    |
| 5                                   | NPL Documents     | Leach_etal.pdf    | 904759  | no | 8  |
| <b>Warnings:</b>                    |                   |                   |         |    |    |
| <b>Information:</b>                 |                   |                   |         |    |    |
| 6                                   | NPL Documents     | Ma_etal.pdf       | 236171  | no | 3  |
| <b>Warnings:</b>                    |                   |                   |         |    |    |
| <b>Information:</b>                 |                   |                   |         |    |    |
| 7                                   | NPL Documents     | Nyerges.pdf       | 232198  | no | 4  |
| <b>Warnings:</b>                    |                   |                   |         |    |    |
| <b>Information:</b>                 |                   |                   |         |    |    |
| 8                                   | NPL Documents     | Witherup_etal.pdf | 421862  | no | 4  |
| <b>Warnings:</b>                    |                   |                   |         |    |    |
| <b>Information:</b>                 |                   |                   |         |    |    |
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.